



MIRICK O'CONNELL  
ATTORNEYS AT LAW  
MIRICK, O'CONNELL, DEMALLIE & LOUCEE, LLP

105  
VIA 03  
#5  
\$ 2800  
10/28/02

November 18, 2002

RECEIVED  
JAN 15 2003  
IC 2800 MAIL ROOM

Commissioner of Patents and Trademarks  
U.S. Patent and Trademark Office  
Washington, DC 20231

Re: Applicant: Pionzio, Jr. et al.  
For: Wind Farm Control System  
Publication No.: US 2002/0029097 A1  
Publication Date: March 7, 2002  
Application No.: 09/828,500  
Filing Date: April 6, 2001  
Tech Center: 2800  
Examiner: Gau  
Our Reference: 16919-00001

RECEIVED  
DEC 02 2002  
Technology Center 2100

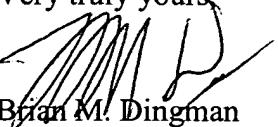
Dear Sir:

Enclosed is a Third Party Submission of Publications in a Published Application Under 37 C.F.R. §1.99 in connection with the above-referenced matter.

If for any reason this document is found to be incomplete, or if at any time it appears that a telephone conference with counsel would help advance prosecution, please telephone the undersigned in Westborough, Massachusetts (508) 898-1501.

If any payment during prosecution is found to be insufficient or if any overpayment is found, please charge any deficiency or credit any overpayment to my deposit account number 50-1582. A copy of this letter is enclosed for use by the Finance Branch in the event that it is necessary to make any charge or credit to my deposit account.

Kindly acknowledge receipt of the foregoing by returning the enclosed self-addressed postcard.

Very truly yours,  
  
Brian M. Dingman

BMD/jgm  
Enclosures

MIRICK O'CONNELL

(H:\PA\CORP\16919\00001\A0592337.DOC)

WORCESTER, MA 01608-1477  
508-791-8500 • FAX 508-791-8502

1700 WEST PARK DRIVE  
WESTBOROUGH, MA 01581-3941  
508-898-1501 • FAX 508-898-1502

BOSTON, MA 02110-1263  
617-261-2417 • FAX 617-261-2418

[www.MirickOConnell.com](http://www.MirickOConnell.com)

**MIRICK O'CONNELL**  
ATTORNEYS AT LAW  
MIRICK, O'CONNELL, DEMALLIE & LOUCEE, LLP

November 18, 2002



Commissioner of Patents and Trademarks  
U.S. Patent and Trademark Office  
Washington, DC 20231

Re: Applicant: Pionzio, Jr. et al.  
For: Wind Farm Control System  
Publication No.: US 2002/0029097 A1  
Publication Date: March 7, 2002  
Application No.: 09/828,500  
Filing Date: April 6, 2001  
Tech Center: 2800  
Examiner: Gau  
Our Reference: 16919-00001

RECEIVED  
DEC 02 2002  
Technology Center 2100  
JAN 15 2003  
T.C. 2800 MAIL ROOM

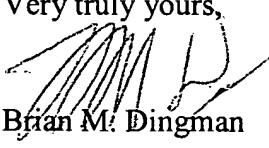
Dear Sir:

Enclosed is a Third Party Submission of Publications in a Published Application Under 37 C.F.R. §1.99 in connection with the above-referenced matter.

If for any reason this document is found to be incomplete, or if at any time it appears that a telephone conference with counsel would help advance prosecution, please telephone the undersigned in Westborough, Massachusetts (508) 898-1501.

If any payment during prosecution is found to be insufficient or if any overpayment is found, please charge any deficiency or credit any overpayment to my deposit account number 50-1582. A copy of this letter is enclosed for use by the Finance Branch in the event that it is necessary to make any charge or credit to my deposit account.

Kindly acknowledge receipt of the foregoing by returning the enclosed self-addressed postcard.

Very truly yours,  
  
Brian M. Dingman

BMD/jgm  
Enclosures

MIRICK O'CONNELL

(H:\PA\CORPU\16919\00001\A0592337.DOC)

WORCESTER, MA 01608-1477  
508-791-8500 • FAX 508-791-8502

1700 WEST PARK DRIVE  
WESTBOROUGH, MA 01581-3941  
508-898-1501 • FAX 508-898-1502

BOSTON, MA 02110-1263  
617-261-2417 • FAX 617-261-2418

[www.MirickOConnell.com](http://www.MirickOConnell.com)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pionzio, Jr. et al.  
Publication No.: US 2002/0029097 A1  
Publication Date: March 7, 2002  
Application No.: 09/828,500  
Filing Date: April 6, 2001

For: Wind Farm Control System  
Tech Center: 2800  
Examiner: Gau

RECEIVED  
JAN 15 2003  
TC 2800 MAIL ROOM

**RECEIVED**

DEC 02 2002

Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, DC 20231, on November 18, 2002.

*Joyce G. Murphy*  
Joyce G. Murphy

THIRD PARTY SUBMISSION OF PUBLICATIONS  
IN A PUBLISHED APPLICATION UNDER 37 C.F.R. §1.99

This submission is after the publication and before issuance of the notice of allowance in the subject application. The attached page is a listing of the publications submitted for consideration by the office, including the date of publication. Copies of each listed publication are enclosed.

The undersigned certifies that the enclosed publications have been served on the attorney of record in this application, Hans R. Troesch of Fish & Richardson P.C., Suite 100, 2200 Sand Hill Road, Menlo Park, CA 94025 on this 18th day of November 2002.

If for any reason this submission is incorrect or incomplete, please contact the undersigned. The \$180.00 fee set forth in §1.17(b) is enclosed.

Please return the enclosed self-addressed postcard to acknowledge receipt of this submission.

Respectfully submitted,



---

Brian M. Dingman  
Reg. No. 32,729



List of Enclosed Prior Art Documents for serial number 09/828,500, filed April 6, 2001

Title	Date
"Know Your Windfarm" brochure	1995
"Manage Your Windfarm" brochure	1996
"The CTM/3"	April 1993
Laplink manual	1996
NREL Final Report, Volume 1	February 1999

- RECEIVED  
JAN 15 2003  
TC 2800 MAIL ROOM

RECEIVED

DEC 02 2002

Technology Center 2100

COPY